

# Department of State (DOS)

## Training Presentation

March 11, 2020

WARNING: This training presentation is ~~FOR OFFICIAL USE ONLY (FOUO)~~ and is intended for internal National Records Center use only. It contains information that may be exempt from public release under the Freedom of Information Act (5 U.S.C. 552). This document is to be controlled, handled, transmitted, distributed, and disposed of in accordance with Department of Homeland Security policy relating to Sensitive But Unclassified (SBU) information and is not to be released to the public or other personnel who do not have a valid need to know without prior approval from the FOIA Officer.



**U.S. Citizenship  
and Immigration  
Services**

# Objectives

- To Understand the purpose behind and application of DOS Code (b)(3), 8 U.S.C. 1202(f).
- To be able to identify DOS documents.
- How to correctly apply exemption (b)(3) to DOS documents.



# Processing Legend



# Processing Acronym Legend

WIF = Withhold in Full

RIF = Refer in Full

OOS = Out of Scope



# Tracking Cases Containing DOS Documents

- For tracking purposes when you process (release, redact, or WIF) any DOS documents, place the DOS bookmark on one of the DOS pages.
- Do not bookmark if all DOS pages are marked OOS or are misfiled documents.



# Memorandum of Understanding

- Per our Memorandum of Understanding with the Department of State (DOS) we will process (release, redact, or WIF) their documents per their instruction.
- Keep in mind that some of the rules for processing DOS records are different than how we normally process USCIS records.
- This presentation will not contain examples for every type of DOS document seen in Alien or receipt files.



# Exemption (b)(3), 8 U.S.C. 1202(f)

- Exemption (b)(3), 8 U.S.C. 1202(f) pertains to the issuance or refusal of a visa or permit to enter the United States.
  - As such, this information is specifically exempt from disclosure under Section 222(f) of the Immigration and Nationality Act (Title 8 USC Section 1202(f) and is therefore, also exempt from disclosure under subsection (b)(3) of the Freedom of Information Act (FOIA).
- Ordinarily the FOIA requires a corresponding FOIA and PA exemptions in PA cases.
  - Exception: (b)(3) 1202(f) can be cited alone or with other applicable exemptions whether it is a FOIA or PA.



# DOS URL's

- Guidance provided per our Memorandum of Understanding (MOU) with the Department of State (DOS) and Office of Chief Counsel (OCC)
- Withhold DOS URL's located at the top and/or bottom of a page (b)(7)(E), add (k)(2) if a PA case.
- Note: DOS URL's can contain any of the following (b)(3) (b)(7)(e)  

This is not an all inclusive list.

  - This includes URL's on public documents or documents the subject would ordinarily receive in full or have access to electronically.
  - Do not redact URL's within the body of a document.
  - Release DOS documents filed or served in court by the subject or their representative, this includes documents with DOS public URL's.
- For more information on documents filed in court see the Processing Guide, Documents Filed in Immigration Court –Executive Office for Immigration Review (EOIR).





# Third Party Information

- All third party PII will be redacted (b)(6) on any Visa related document.
  - Exception: Third party PII can be released only on a document the requestor/subject completed such as form I-590.
  - Third party PII will be redacted on Visa documents even if released elsewhere in the file.
  - Third party PII can be released in PA cases.



# NIV

(b)(3) (b)(7)(e)

- WIF (b)(3), 8 U.S.C. 1202(f).
- If URL is present also use (b)(7)(E), add (k)(2) if a PA case.



[Redacted]

## Example I & II

(b)(3) (b)(7)(e) (b)(6)

- [Redacted]
- [Redacted]

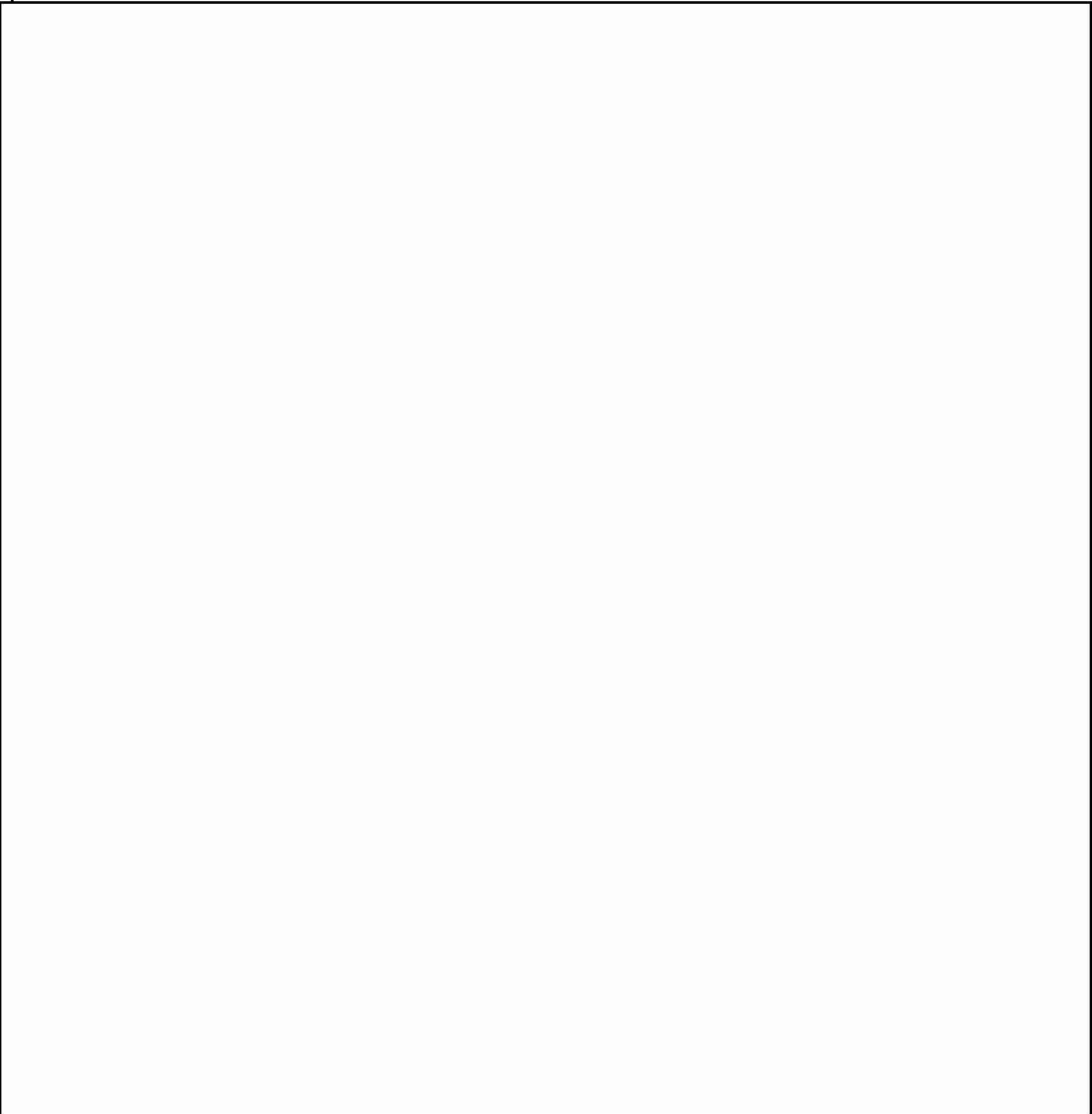
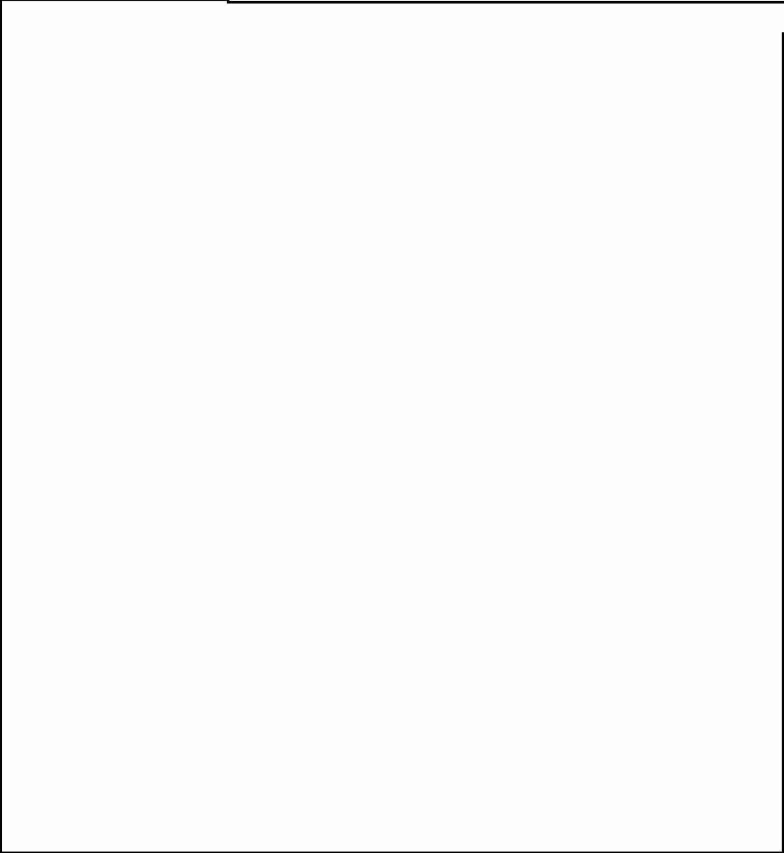
- WIF (b)(3), 8  
U.S.C. 1202(f).

[Redacted]

[Redacted]



DOS



Note: This list is not all-inclusive.

(b)(3) (b)(7)(e)



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(b)(3)

(b)(7)(e)

- WIF (b)(3), 8 U.S.C. 1202(f).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.



[Redacted]

[Redacted]

## Different Versions

(b)(3) (b)(6)

WIF (b)(3), 8  
U.S.C. 1202(f).

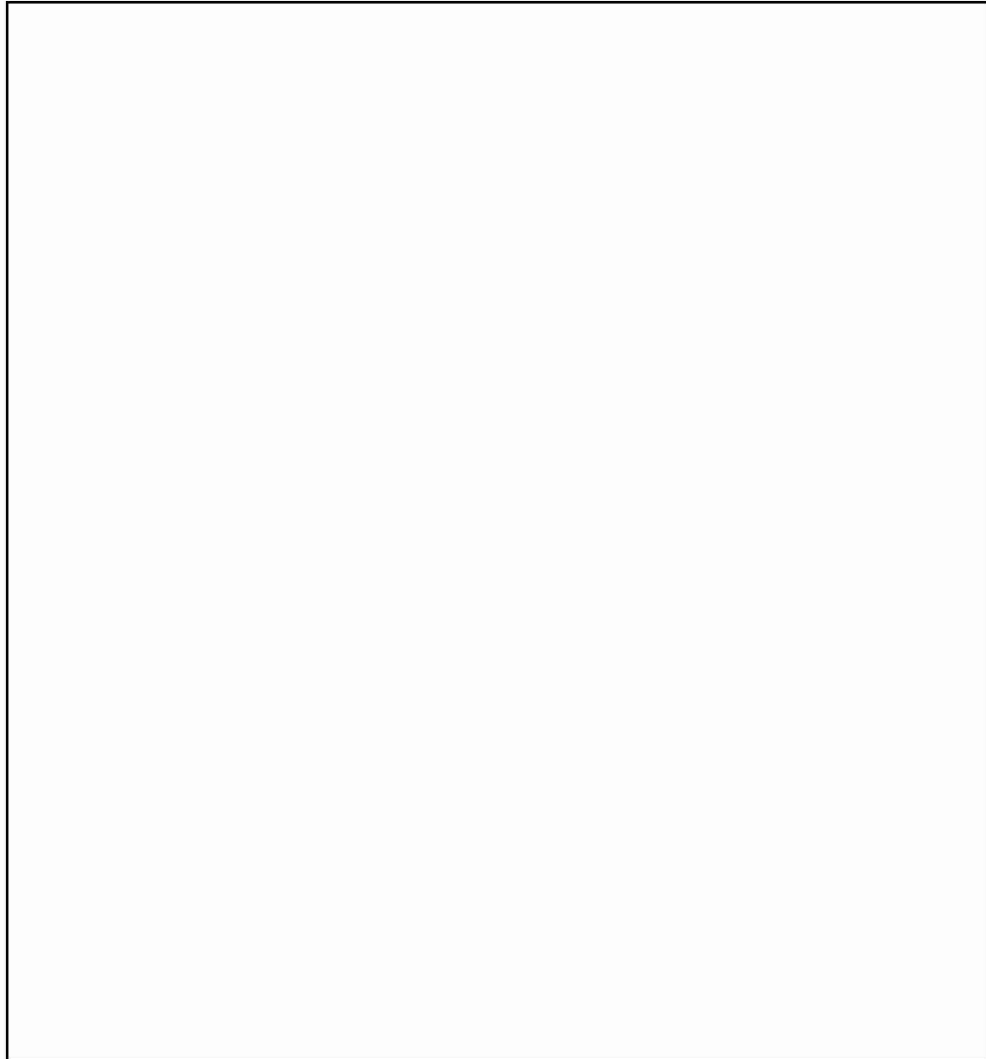
[Redacted]



[Redacted]

(b)(3) (b)(6)

WIF (b)(3), 8  
U.S.C. 1202(f).



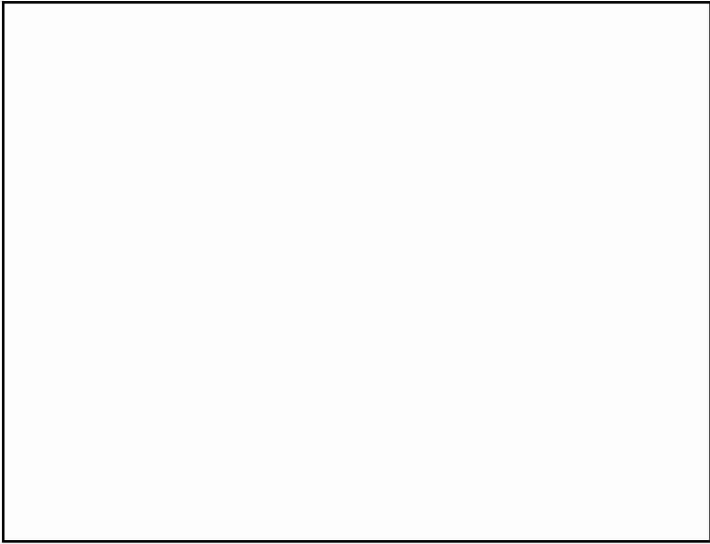
(b)(3) (b)(6)

WIF (b)(3), 8  
U.S.C. 1202(f).

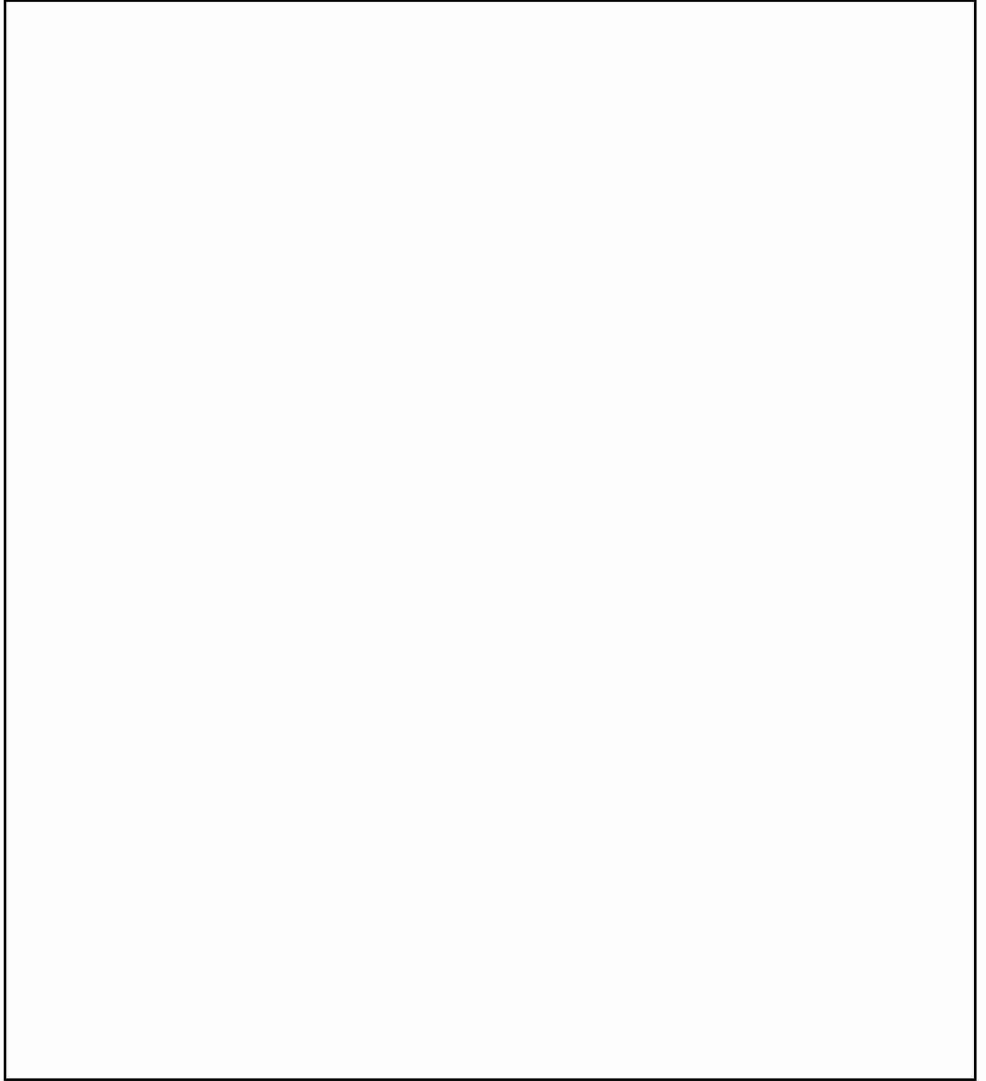


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(b)(3) (b)(6)



- WIF (b)(3), 8 U.S.C. 1202(f).

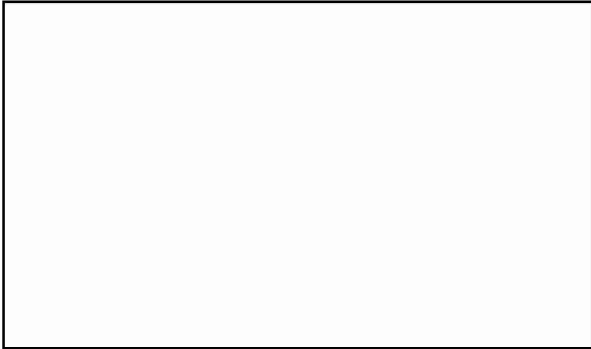


(b)(3)

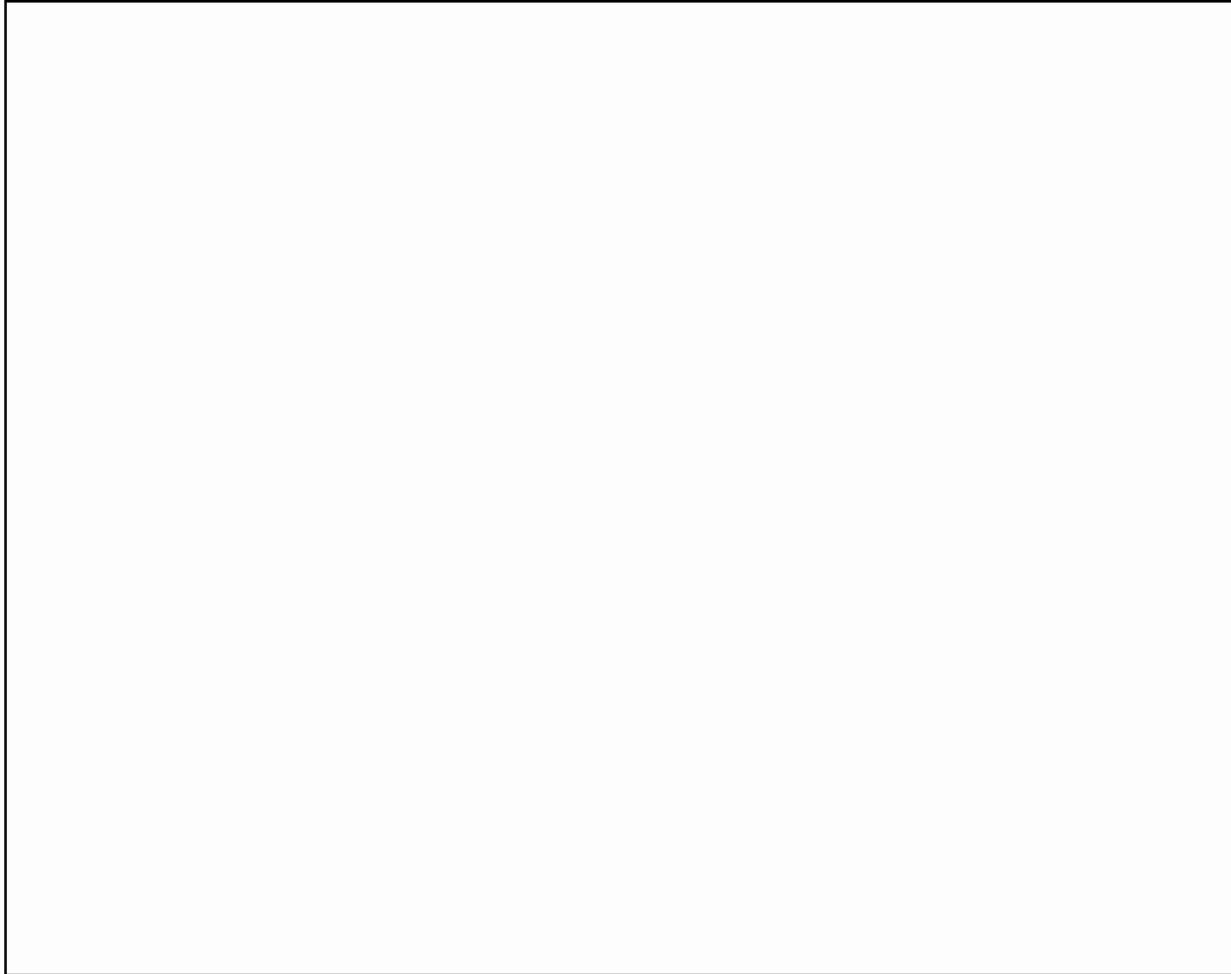
- WIF (b)(3), 8  
U.S.C. 1202(f).



(b)(3) (b)(6)



- WIF (b)(3), 8 U.S.C. 1202(f).



# DOS Cable (Response to subject's Atty.)

This particular cable is a response to the subject of record's representative.

- Release in full to the subject.

(b)(6)

PAGE WITHHELD PURSUANT TO UNCLASSIFIED POS 2191

ZCZCPSI \*  
RR BUENC  
DE RUEHSP #2191 212 \*\* (b)(3)  
ZNR UUUUU ZZB  
R 311509Z JUL 87  
FM AMEMBASSY PORT OF SPAIN  
TO SECSTATE WASHDC 9698  
BT  
UNCLAS PORT OF SPAIN #2191

CLASS: UNCLASSIFIED  
CHG#: PROG 7/30/87  
APPRV: THE AMBASSADOR  
DREFD: COMGEN:  
CLEAR: NONE  
DISTR: COM2 AMR DCM

H PASS

E.O. N/A  
TAGS: CVIS  
SUBJ: VISAS: IMMIGRANT VISA CASE OF

TO : THE HONORABLE NORMAN D. DICKS  
- SUITE 201, 1 PACIFIC BUILDING  
- 521 PACIFIC AVENUE  
- TACOMA WASHINGTON 98402-4620  
- ATTENTION:

DEAR MR. DICKS:

SINCERELY,  
SHELDON J. KRYS  
AMBASSADOR  
U.S. EMBASSY  
PORT OF SPAIN  
TRINIDAD, W.I.  
KRYS  
BT  
#2191

NNNN



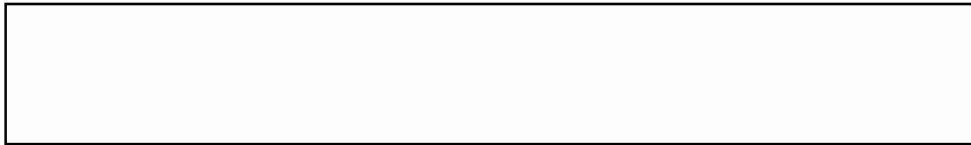
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Page 1 & 2

(b)(3)

- WIF (b)(3), 8 U.S.C. 1202(f).



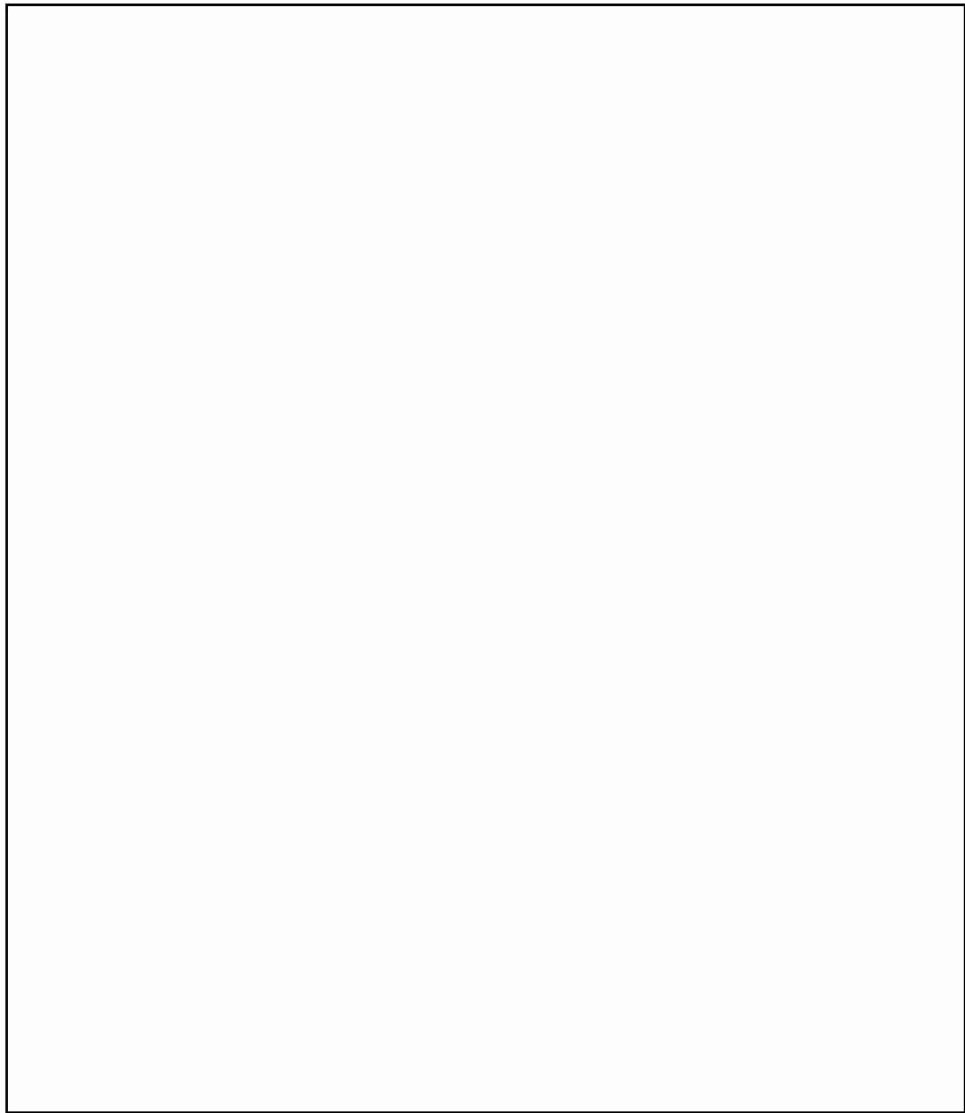


Internal Document

(b)(3)



- WIF (b)(3), 8 U.S.C. 1202(f).



# DS-156, Non-Immigrant Visa Application

(b)(3)

(b)(7)(e)

- Redact DOS officer stamps and annotations (b)(3), 8 U.S.C. 1202(f).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

The image shows a DS-156 Non-Immigrant Visa Application form. A large white rectangular redaction box covers the top right portion of the form, including the U.S. Department of State logo, the title 'NONIMMIGRANT VISA APPLICATION', and the top right corner text. Another white rectangular redaction box covers the right side of the form, overlapping the 'Barcode' and 'Photo' area. The form contains various fields for personal information, including passport details, names, birth information, contact numbers, marital status, and travel plans. At the bottom left, it says 'DS-156 (Rev. 3-2011) PREVIOUS EDITIONS OBSOLETE' and at the bottom right, 'Page 1 of 2'.



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# CEAC DS-160 Online Non Immigrant Visa Application Report

(b)(3) (b)(6)

The DS-160, Online Nonimmigrant Visa Application form is for temporary travel to the United States and for K (fiancé(e)) visas and is filled out on-line by the applicant.

- Redact top portion of page 1, used for DOS remarks (b)(3), 8 U.S.C. 1202(f).
- Also redact [redacted] on [redacted] (b)(3), 8 U.S.C. 1202(f).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

CEAC DS-160 Report - Sensitive But Unclassified(SBU)

[Redacted]

Name Provided: [redacted]		Principal Applicant? <b>YES</b>	
Full Name in Native Language: DOES NOT APPLY/TECHNOLOGY NOT AVAILABLE		Purpose of Your Trip to U.S. (1) Purpose: <b>OTHER</b> Other - Specify: <b>INTRACOMPANY</b>	
Other Name Used: [redacted]		Date of Birth: [redacted]	
Place of Birth: [redacted]		Nationality: [redacted]	
Other Nationalities: NO		Sex: <b>MALE</b>	
Marital Status: <b>MARRIED</b>		National Identification Number: 1	
U.S. Social Security Number: 000-00-0001		U.S. Taxpayer ID Number: DOES NOT APPLY	
PASSPORT		PASSPORT	
Passport Number: 6	Passport Book Number: DOES NOT APPL	Country/Authority that Issued: [redacted]	

CEAC DS-160 Report Page 3 of 5

countries within the last five years? (1) Countries Saved : ARGENTINA (2) Countries Saved : BRAZIL (3) Countries Saved : CHILE (4) Countries Saved : PARAGUAY (5) Countries Saved : CHINA (6) Countries Saved : HONG KONG SAR	your application for admission at the point of entry? Explain: VISA TYPE 2) TEMPORARY WORK VISA Name of Employer: LOGISTICS SERVICE IMPORT & EXPORT Address: 5431 Phone Number: Enter Monthly Income (in USD): \$5,000 Do you have an application receipt/petition number? YES Name of Person/Company who Filed Petition: EXPORT IMPORT Application Receipt/Petition Number: [redacted] 7
Have you belonged to, contributed to, or worked for any professional, social, or charitable organization? NO	Do you have any specialized skills or training, including firearms, explosives, nuclear, biological, or chemical experience? NO
Have you ever served in the military? NO	Have you ever served in, been a member of, or been involved with a paramilitary unit, vigilante unit, rebel group, guerrilla group, or insurgent organization? NO
3) ADDRESS AND PHONE Home Address: [redacted] City: SANTA CRUZ State/Province: SANTA CRUZ Postal Zone/ZIP Code: DOES NOT APPLY Country/Region: Same Mailing Address? YES Primary Phone Number: Secondary Phone Number: Work Phone Number: Email Address:	
3) FAMILY Relatives Father's Surnames: [redacted] Father's Given: [redacted]	
Do you have a communicable disease of public health significance such as tuberculosis (TB)? NO	Do you have a mental or physical disorder that poses or is likely to pose a threat to the safety or welfare of yourself or others? NO
Are you or have you ever been a drug abuser or addict? NO	Criminal Have you ever been arrested or convicted for any offense or crime, even though subject of a pardon, amnesty, or other similar action? NO
Have you ever violated, or engaged in a conspiracy to violate, any law relating to controlled substances? NO	Are you coming to the United States to engage in prostitution or unlawful commercialized vice or have you been engaged in prostitution or procuring prostitutes within the past 10 years? NO
Have you ever been involved in, or do you seek to engage in, money laundering? NO	Security Do you seek to engage in espionage, [redacted] NO



U.S. Citizenship and Immigration Services



# CEAC DS-160 Online Non Immigrant Visa

## Application Report

Last page

(b)(3)

Redact the following sections (b)(3)  
8 U.S.C. 1202(f):

- 
- 

Note: If anything is in the “ Enter the reason for reopening...” section or in the blank space at the bottom redact those sections (b)(3) 8 U.S.C. 1202(f).

- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

CEAC DS-160 Report	Page of
taxation?	
Have you attended a public elementary school on student (F) status or a public secondary school after November 30, 1996 without reimbursing the school?	NO

Enter the reason for reopening the applicant's DS-160 application below, then click "OK".  
If you did not intend to reopen the DS-160 application, click "Cancel".

of 4000 characters entered.



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# FBI Rap Sheet in a DOS Database

(b)(3) (b)(7)(e)

Release the Rap Sheet to first party except for redacting:

- Redact [redacted] (b)(3), 8 U.S.C. 1202(f).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

- Redact [redacted]

(b)(7)(E), add (k)(2) if a PA case.

[redacted]

END OF COVER SHEET

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
CRIMINAL JUSTICE INFORMATION SERVICES DIVISION  
CLARKSBURG, WV 26306

USDOSMTLZ ICN E20

BECAUSE ADDITIONS OR DELETIONS MAY BE MADE AT ANY TIME, A NEW COPY SHOULD BE REQUESTED WHEN NEEDED FOR SUBSEQUENT USE.

THIS RECORD IS SUBJECT TO THE FOLLOWING USE AND DISSEMINATION RESTRICTIONS

UNDER PROVISIONS SET FORTH IN TITLE 28, CODE OF FEDERAL REGULATIONS (CFR), SECTION 50.12, BOTH GOVERNMENTAL AND NONGOVERNMENTAL ENTITIES AUTHORIZED TO SUBMIT FINGERPRINTS AND RECEIVE FBI IDENTIFICATION RECORDS MUST NOTIFY THE INDIVIDUALS FINGERPRINTED THAT THE FINGERPRINTS WILL BE USED TO CHECK THE CRIMINAL HISTORY RECORDS OF THE FBI. IDENTIFICATION RECORDS OBTAINED FROM THE FBI MAY BE USED SOLELY FOR THE PURPOSE REQUESTED AND MAY NOT BE DISSEMINATED OUTSIDE THE RECEIVING DEPARTMENT, RELATED AGENCY OR OTHER AUTHORIZED ENTITY. IF THE INFORMATION ON THE RECORD IS USED TO DISQUALIFY AN APPLICANT, THE OFFICIAL MAKING THE DETERMINATION OF SUITABILITY FOR LICENSING OR EMPLOYMENT SHALL PROVIDE THE APPLICANT THE OPPORTUNITY TO COMPLETE, OR CHALLENGE THE ACCURACY OF, THE

http [redacted]



[REDACTED]

(b)(3) (b)(6) (b)(7)(e)

These are Internal [REDACTED]

[REDACTED]

- WIF (b)(3), 8 U.S.C. 1202(f).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.



# DOS Online Immigrant Visa and Alien Registration Application (DS-260)

If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

(b)(6) (b)(7)(e)

Consular Electronic Application Center Print Application

U.S. DEPARTMENT OF STATE  
CONSULAR ELECTRONIC APPLICATION CENTER

Online Immigrant Visa and Alien Registration Application (DS-260)

Application - Sensitive But Unclassified/SBU

Case Number: [REDACTED] Confirmation Number: [REDACTED]

**Personal, Address and Photo Information**

Name Provided:  
Full Name in Native Language:  
 Other Names Used: YES  
Other Name (1):  
Other Name (2):

Sex: FEMALE  
Current Marital Status: MARRIED  
Date of Birth:  
City of Birth:  
State/Province of Birth: DOES NOT APPLY  
Country/Region of Birth: HONDURAS  
Country/Region of Origin (Nationality): HONDURAS  
Document Type: PASSPORT  
Document ID:  
Country/Authority that Issued Document: HONDURAS  
Issuance Date: 07  
Expiration Date: 08  
Do you hold or have you held any nationality other than the one you have indicated above? NO

Present Address:  
City: I  
State/Province:  
Postal Zone/ZIP Code:  
Country/Region: UNITED STATES OF AMERICA  
From Date: JANUARY 2012  
 Have you lived anywhere other than this address since the age of sixteen? YES

Previous Address (1):  
City:  
State/Province:  
Postal Zone/ZIP Code:

[REDACTED]

31

08/2013



[Redacted]

(b)(3)

- [Redacted]
- WIF (b)(3), 8 U.S.C. 1202(f).
- [Redacted]



# Adjustment of Status (AOS) Fee Payment Receipt Details

(b)(7)(e)

- Redact, the “Payer Email” if it is a third party email address, (b)(6).
- If URL is present, redact (b)(7)(E), add (k)(2) if a PA case.

U.S. DEPARTMENT OF STATE  
CONSULAR ELECTRONIC APPLICATION CENTER

Payment Receipts for IV Case CDJ  
AOS Fee Payment Receipt Details

Payment Status PAID  
Principal Applicant N  
Petitioner R  
Payment Amount \$88.00  
Payment of Services Initiated 11-NOV  
Payment Processed Date 13-NOV  
Payer [REDACTED]  
Payer Email [REDACTED]  
Transaction ID [REDACTED]



[Redacted]

(b)(3) (b)(6) (b)(7)(e)

- WIF (b)(3), 8 U.S.C. 1202(f).
- Add (b)(7)(E) for any protected results, add (k)(2) and possibly (j)(2) if a PA case.



# National Visa Center (NVC)

- USCIS oversees immigration to the United States and approves (or denies) immigrant petitions and more.
- Approved petitions are then sent to the NVC, who handles processing, for certain kinds of documents before sending them to U.S. Embassies & Consulates.

For more information visit the NVC home page:  
<https://travel.state.gov/content/travel/en/us-visas/immigrate/>





[Redacted]

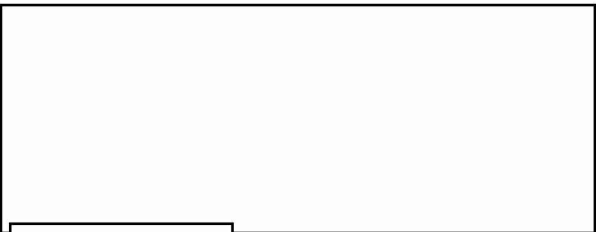
(b)(3)

This is an internal  
document [Redacted]

[Redacted]

- WIF (b)(3), 8 U.S.C. 1202(f).





for internal

use only. (b)(3)

- WIF (b)(3), 8 U.S.C. 1202(f).



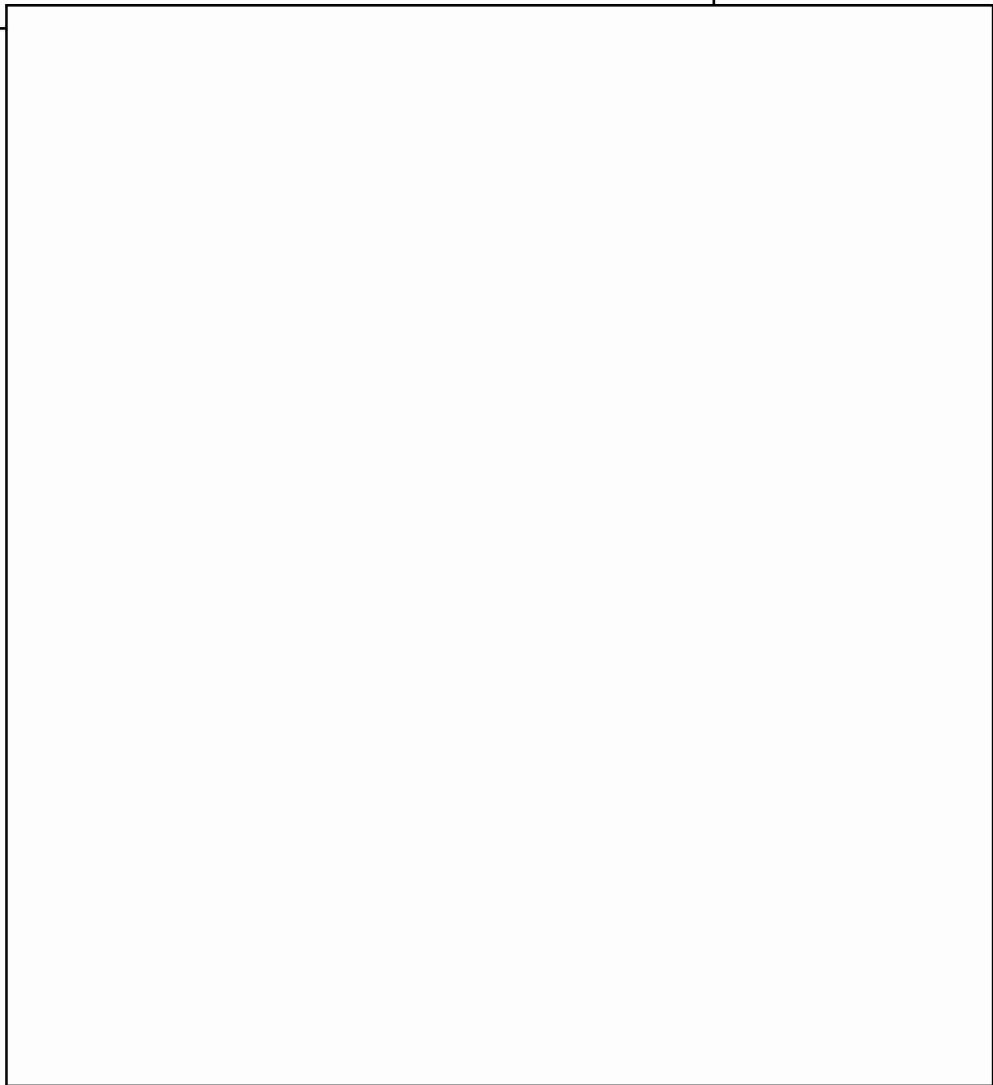
- WIF (b)(3), 8 U.S.C. 1202(f).

(b)(3)



(b)(3)

WIF (b)(3), 8 U.S.C.  
1202(f).



# Bureau for Population, Refugees and Migration (PRM)

- PRM is a bureau within the DOS that provides aid and sustainable solutions for refugees, victims of conflict and stateless people around the world, through repatriation, local integration, and resettlement in the United States.
- PRM works with the international community to develop humane and what are termed "durable" solutions to their displacement.
- The three durable solutions are:
  - Repatriation - going home when they are no longer at risk of persecution.
  - Local Integration - settling permanently in the country to which they have fled.
  - Resettlement - settling permanently in a third country (For more information visit: <https://www.state.gov/j/prm/>).



(b)(3)

WIF (b)(3), 8 U.S.C.  
1202(f).

Note: this document  
may consist of several  
pages.



# Travel Authorization Letter

(b)(6)

- Redact third party PII, (b)(6).

Example: Avril is the subject; therefore, Christian and Mabel's information should be redacted.

- Release in full under PA.

Note: Follow the same guidance if Christian or Mabel were the subject.

VALID FOR ONE YEAR

United States Department of State  
Bureau of Population, Refugees, and Migration  
Washington, D.C. 20520-5824

Date Issued: 27 Aug 2012

The Transportation Company And Transportation Security Administration

Document ID:

RE: (I Members) UNHCR Case Number:  
[Redacted]

US Address: [Redacted]

Sir/Madam:

Pursuant to the accompanying travel packet (for international flights) or the form I-94 (for U.S. domestic flights), the Department of Homeland Security/U.S. Citizenship and Immigration Services has approved the application to apply for admission to the United States of the below-named alien(s) under section 207(c)(1).

[Redacted]	[Redacted]
------------	------------

NAME: [Redacted] Christian, [Redacted] Mabel, [Redacted] Avril  
DOB: [Redacted]  
COB: [Redacted]

An airline, or airport security agency, may accept this letter as assurance that the above-named alien(s) may be transported to and within the United States without liability under section 273(b) of the Immigration and Nationality Act.

Sincerely,  
*Aaron Bartlett*  
Director, Office of Refugee Admissions  
Bureau of Population, Refugees, and Migration  
U.S. Department of State

**EMPLOYMENT AUTHORIZED**  
SEP 17 2012 MIA 0287  
Date, Port, and CBP office number

Not valid unless this document contains a Document ID.

Expiration Date: 27 August 2013 Page 1 of 1 Case TS- 74 (I Members)



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# United Nations High Commissioner for Refugees (UNHCR)

- UNHCR is the United Nations refugee agency.
- PRM supports the work of UNHCR and International Committee, of the Red Cross (ICRC), when these organizations respond to the needs of internally displaced persons.
- UNHCR's main governmental partners are:
  - Department of State Bureau of Population, Refugees, and Migration (PRM) Refugee Admissions program
  - Office of Refugee Resettlement (ORR) in the Department of Health and Human Services
  - Refugee Affairs Division of the United States Citizenship and Immigration Services in the Department of Homeland Security (For more information visit: <http://www.unhcr.org>)





(b)(3) (b)(5)

WIF (b)(3), 8 U.S.C.  
1202(f) and (b)(5), add  
(d)(5) if a PA case.



# UNHCR Resettlement Registration Form

Sect. 1,2 & 3

(b)(6)

This form is used by the UNHCR to document facts and to analyze the refugee claim.

Sections 1 and 2 can be released with proper VOI.

Section 3 contains PII on subject/spouse' relatives.

- Redact third party PII, (b)(6).
- Release third party PII under PA.

The image shows the top portion of a UNHCR Resettlement Registration Form. It features the UNHCR logo and the text 'UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES (UNHCR) - Resettlement Registration Form'. Section 1, 'Case-related Data', contains a large redacted area. To the right of this section is the handwritten case number 'TH-159108'. Section 2, 'Individual Bio Data', includes the instruction '(If NOT currently being with Principal Applicant, register under Section 2 - Additional Accounts)' and a redacted area. At the bottom right of this section is the text 'RUBENOVIC to PEA'. The form number 'PH' and 'Case No: 815-09C00590' are visible at the bottom.

The image shows the bottom portion of a UNHCR Resettlement Registration Form, specifically Section 3. It features the UNHCR logo and the text 'UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES (UNHCR) - Resettlement Registration Form'. Section 3 is titled 'Relatives of principal applicant and spouse not included in this submission'. Below the title is a detailed instruction: 'ALL OTHER CLOSE RELATIVES OF THE APPLICANT in the country of origin, the country of refuge, or any other country. Note: amount of blood or immediate biological and legal parents, spouses, children and siblings, including step and half relationships, of each person listed in Section 2. Where possible, include any other relatives (i.e., more distant relatives resulting in a variety of kinship) of the relationship as reported in the context of the traditional distribution (i.e., date, marriage, divorce, remarriage to a relationship of dependency to anyone listed in Section 2, but who are unable to be included in the submission under Section 2, must be included, in the case of separated and/or unaccompanied children in Section 2, include all known family members.' Below this instruction is a large redacted area. At the bottom right, there is a date stamp '08-08-2015 8:25:22' and the page number '2'. The form number 'PH' and 'Case No: 815-09C00510' are visible at the bottom.



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# UNHCR Resettlement Registration Form

Sect. 4.2, 4.3, 4.4 & 5

(b)(6) (b)(5)

- Sections 4.2, 4.3, 4.4 and 5 contain deliberative information, redact (b)(5), add (d)(5) if a PA case.
- If third party PII, add (b)(6).
- Release third party PII under PA.

The image shows a UNHCR Resettlement Registration Form with several sections redacted with large white boxes. The visible text includes:

**UNHCR**  
The UN Refugee Agency  
UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES (UNHCR) - Resettlement Registration Form

the Khmer National Liberation Front (KNLF). The PRA refused his offer, stating that she did want to be involved in political issues. In July 2012, the man sexually assaulted the PRA at her home. The PRA reported the assault to Giau DANH's employer and he was fired from his place of employment. In March 2013, the PRA learned from the Khmer community in Thailand that Thai Immigration Authorities had arrested seven key members of the KNLF in Thailand, including Giau DANH. The seven arrestees were eventually returned to Cambodia by Thai authorities and transferred to Prey Sor Prison in Phnom Penh, Cambodia.<sup>5</sup>

**Fear of Return**

The PRA is a Vietnamese woman of Christian faith who has experienced repeat incidents of harassment and severe physical violence at the hands of Vietnamese authorities, forcing her to flee her country on multiple occasions due to religious persecution and supposed anti-government political opinions. The PRA fears arrest, detention and torture if she is returned to Vietnam.

**4.2 Summary of Legal Analysis**

**UNHCR**  
The UN Refugee Agency  
UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES (UNHCR) - Resettlement Registration Form

**5. NEED FOR RESETTLEMENT**

Case No: 615-09C00590 08-Dec-2015 8:25:32 PM



# UNHCR Resettlement Registration Form

(b)(5) (b)(6)

- Section 5 continuation contains deliberative information, redact (b)(5), add (d)(5) if a PA case.
- Sections 6 and 7 (if there are any comments) contain deliberative information, redact (b)(5), add (d)(5) if a PA case.
- If third party PII, add (b)(6).

6. SPECIFIC NEEDS ASSESSMENT • (Including: specific information about the physical or mental health condition, specific needs or vulnerability of the PRA and others included in the submission with particular regard to the possible need for support services in the country of resettlement. See Resettlement Handbook, Chapter 3 and 7.5.1)

Case No: [Redacted] 08-Dec-2015 8:25:22 PM

**UNHCR**  
The UN Refugee Agency

UNITED NATIONS HIGH COMMISSIONER FOR REFUGEES (UNHCR) - Resettlement Registration Form

7. ADDITIONAL REMARKS (e.g. explanations of dependency links of adults included on the case and of cross-referenced cases, distant relatives including friends in resettlement countries, residence of family members in locations different from PRA, changes in marital status including dates and supporting documentation available, explanations of discrepancies and any other information for resettlement authorities).

N/A



# Ibrahim v. DOS and (b)(3) 1202(f)

- Ibrahim submitted a FOIA request to DOS asking for all records relating to the denial of his I-590 Iraqi Resettlement Application and associated documents.
  - Unsatisfied with the FOIA response Ibrahim filed suit challenging, among other things, the use of (b)(3) 1202(f) to withhold documents relating to his I-590.
- The court agreed with Ibrahim stating “Resettlement Applications do not pertain to the issuance or refusal of visas or permits to enter the United States”.
  - The court further stated that USCIS’s Refugee Application Assessment may be held under (b)(5) and (b)(7)(E) except for the page containing the agency’s final decision and the agency must release the UNHCR documents and the USCIS assessment of reconsideration subject to redactions under (b)(6) and (b)(7)(C).
- In short (b)(3), 1202(f) does not apply to refugee documents such as the I-590, Refugee Interview, Refugee Office notes, RSC and other documents. However, Exemptions 5, 6 and 7 may.

Note: The 25 year Sunset Provision still applies to the deliberative process privilege.



**U.S. Citizenship  
and Immigration  
Services**

Case 1:16-cv-01330-TNM Document 27 Filed 05/07/18 Page 1 of 13

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

**ZEAD KHALAF IBRAHIM,**

Plaintiff,

v.

**UNITED STATES DEPARTMENT OF  
STATE.**

Defendant.

Case No. 1:16-cv-01330 (TNM)

## MEMORANDUM OPINION

Plaintiff Zead Khalaf Ibrahim lives in Jordan as an Iraqi political refugee. He tried to come to the United States, but the United States Citizenship and Immigration Service denied his I-590 Iraqi Resettlement Application and, subsequently, denied his Request for Reconsideration of that denial. At issue in this case is the Department of State’s response to Mr. Ibrahim’s Freedom of Information Act requests for all records in the Department’s possession related to the denial of his application and the denial of his Request for Reconsideration. The Department of State has produced some responsive records and has redacted or withheld other records. Before this Court are the Department of State’s motion for summary judgment and Mr. Ibrahim’s cross-motion for partial summary judgment. Because some exemptions that the Department of State invokes properly apply but others do not, the Defendant’s Motion for Summary Judgment will be granted in part and denied in part, as will the Plaintiff’s Cross-Motion for Partial Summary Judgment.

# Form I-590, Registration for Classification as Refugee and its Signature Page

(b)(5) (b)(6)

Release to first party requestors except for:

- Redact the names of overseas employees (Refugee Officers, Interpreters, etc.), (b)(6).

Note: The Location line in the middle of the page can help in determining if the names should be held.

Note: The names of overseas employees will be released under the PA.

- If protected system results (IBIS: [redacted] etc.) redact (b)(7)(E), add (k)(2) if a PA case.
- If deliberative notes redact (b)(5), add (d)(5) if a PA case.

The image shows two copies of Form I-590, 'Registration for Classification as Refugee'. The top copy is a full page with a 'DENIED' stamp dated MAR 25 2016. The bottom copy is a signature page with various fields filled out, including a date of 03-23-16 and a signature of 52. Both forms have several fields redacted with black boxes.



U.S. Citizenship and Immigration Services

# Refugee Interview

Page 1 and 2

Release to first party requestors.

- If Present, redact deliberative notes (b)(5), add (d)(5) if a PA case.

Note: 25 year Sunset Provision applies to any deliberative notes.

Case Number:  
Name:  
Date of Birth: 1981  
Place of Birth: Somalia  
Case Size: 1 Priority: P1  
Date: 2009  
Caseworker's name:

PA was only 9 years old at the time of her flight

PA from Birth to Young Adult:  
PA States:  
• PA is the youngest children but she only knows information married one time to each other.  
• PA is unsure what her father and mother did for employment  
• PA was raised by her mother in camp.  
• PA was married 1 time and has 1 child. She and  
• PA has never gone to school and has held no for

PA from Young Adult to Escape:  
PA states:  
• PA fled Somalia in  
• PA states that she was staying  
Her mother was also searching for the PA's  
• PA was told by her uncle that there was  
• PA was told by her uncle that the  
• PA remembers that there was gunfire.  
• PA states that she had  
• PA states that her mother Somalia because they were  
• PA states that she fled to fleeing the violence.  
• PA states that she travelled to before crossing the border into

PA from Escape to Present:  
PA states:  
• PA states that once she and her mother entered

She was told that her parents had other  
Her mother and father were

- PA estimates that the journey
- PA states that about 3 days after arriving in
- PA states that soon after arriving in Somalia to care for her other children.
- PA states that she lived
- PA states that she married in 20 later. Her husband now cares for their son
- PA states that she tries to support herself in the camp by occasionally
- PA states that life is difficult. In the camp, there is a lack of education
- PA has never returned to Somalia.

PA Signature: [Signature] (PA's mark) Date: 2009

Caseworker Signature: [Signature] Date: 2009



U.S. Citizenship and Immigration Services

# Refugee Application Assessment

(b)(5)(b)(6)(b)(7)(e)

Multi page document used by USCIS to record consistencies, discrepancies, national security concerns and other information relating to a case.

- Redact, overseas Asylum/Refugee Officer names, (b)(6).
- Sections III – V contain deliberative information, redact (b)(5), add (d)(5) if a PA case.
- Section VI, even if blank, (b)(7)(E).
- Lower section of VI redact (b)(5) if subject is not aware of decision, add (d)(5) if a PA case.
- Redact, Refugee Officer notes and any protected system results, (b)(7)(E), add (k)(2) if a PA case.

Note: The names of overseas employees will be released under the PA.

Note: 25 year Sunset Provision applies.

Office: [Redacted] Case# [Redacted] Page 1 of 13  
**REFUGEE APPLICATION ASSESSMENT**  
USCIS OFFICER: [Redacted] DATE: 03232016  
INTERVIEW LOCATION: BKK, TH DESIGNATED PRIORITY: P1

**I. APPLICANT**  
Name: [Redacted] Case Number: [Redacted]  
Country(ies) of Citizenship/Residence: [Redacted] Ad: [Redacted]  
Accompanying family members: [Redacted] cases: 00  
Applicant's native language: [Redacted] Language in which interview was conducted: [Redacted]  
Was interpreter used?  Yes  No Does Applicant testify to understanding Interpreter/Officer?  Yes  No  
Oath Administered?  Yes Money Paid or Received for Access?  Yes  No

**II. SPECIAL HUMANITARIAN CONCERN**  
Does the applicant meet the criteria for special human:  
 Yes—Select applicable option:  P-1  
 P-3  Add-On (Explain Below)  
**UNHCR REFERRAL**

**III. INA §101**  
A. Past Persecution [Redacted]

**B. Well-Founded Fear of Future Persecution** [Redacted]

**VI. DECISION**  
CASE ON HOLD – Include Explanation and Sequence Numbers for Applicants/Derivatives: [Redacted] Hold Resolved: [Redacted]

IS THE APPLICANT ELIGIBLE FOR REFUGEE RESETTLEMENT PURSUANT TO INA §207?  
[Redacted]

Officer: [Redacted] Refugee Officer: [Redacted] Case: [Redacted] Page 3 of 6

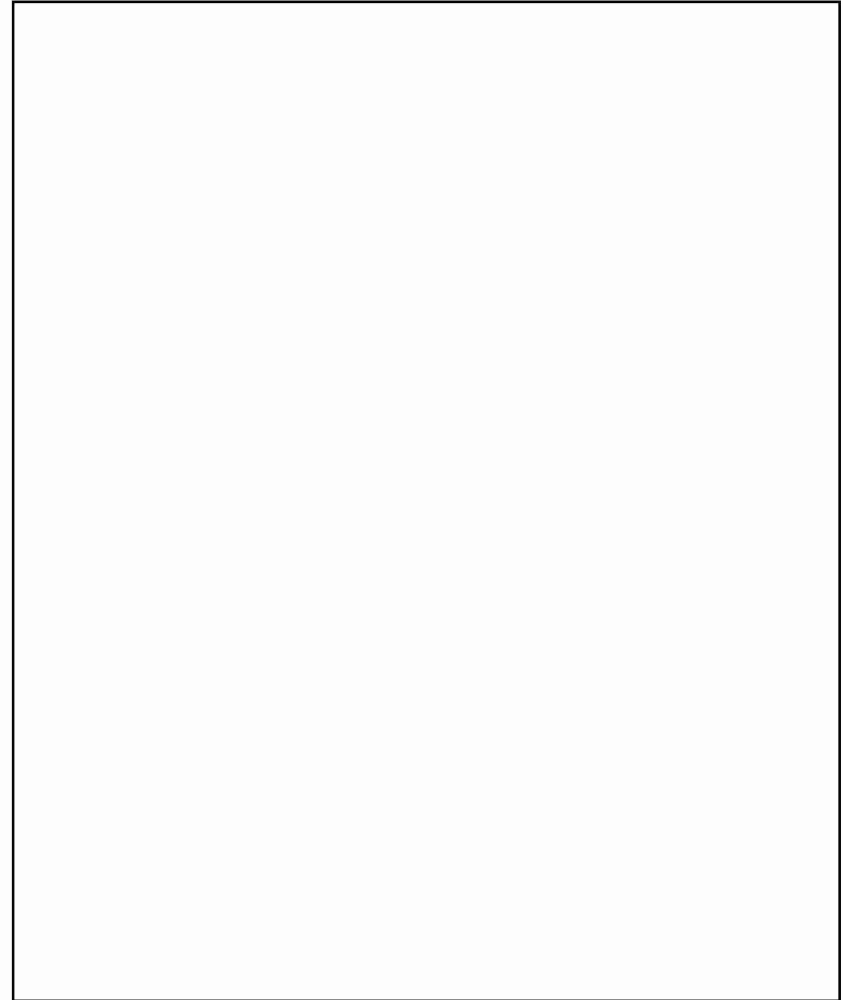




# Addendum to Refugee Application Assessment Interview Notes

(b)(6) (b)(7)(e)

- WIF (b)(7)(e), add (k)(2) if a PA case.
- If FOIA and officer names are present, redact (b)(6).



(b)(5) (b)(6) (b)(7)(c) (b)(7)(e)

- WIF (b)(5), add (d)(5) if a PA case.
- If officer is working overseas and/or for third party PII, add (b)(6).
- If law enforcement information and/or protected results are present, add (b)(7)(C) and/or (b)(7)(E), add (k)(2) and/or (j)(2) if a PA case.



# Child Status Protection Act (CSPA)

## Worksheet (b)(5) (b)(6)

On August 6, 2002, President Bush signed legislation that addressed the problem of minor children losing their eligibility for certain immigration benefits as a result of administrative delays.

For more information visit:

<https://www.uscis.gov/greencard/childstatus-protection-act>

- Part A - release to a first party.
- Parts B, C, D, and E - contain deliberative information, redact (b)(5), add (d)(5) if a PA case.

CHILD STATUS PROTECTION ACT WORKSHEET FOR: "F2A Principal Applications" "Derivative applicants in all family based and employment categories"	
<b>PART A</b>	
Applicant Name	
Case Number	
Visa category	
1. Alien's DOB	
2. Petition filing date	
3. Date Petition Approved	
4. Length of Time petition pending	
5. Date petition became current	
6. Date visa became available (later of #3 or #5)	
7. Availability Date (#6) Minus Pending Period (#4)	
8. Age of alien on date visa became available	
9. Alien's age for purposes of the Child Status Protection Act of 2002. If under 21, continue to part II. If over 21, go to part II.	



U.S. Citizenship  
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Services

# Child Status Protection Act (CSPA) Formula Worksheet

- Release to a first party requestor. (b)(6)
- Exception. If there are deliberative notes, redact (b)(5), add (d)(5) if a PA case.

DS230 Received by NVC on 3/5/10

**CSPA FORMULA WORKSHEET**

Case Number

Applicant Name: \_\_\_\_\_

Visa Symbol: F3

1. Aliens DOB:	
2. Date Petitioned Filed/Priority Date:	21Nov2000
3. Date Petition Approved:	22Nov2002
4. Length of Time Petition Pending (#3 minus #2):	731 days
5. Date Petition Became Current by cutoff date:	01Sep2009
6. Date Visa Became Available (Later of #3 or #5):	01Sep2009
7. Age of Alien on Date Visa Became Available (#6 minus #1):	21 years + 60 days
8. Age for CSPA purpose: Age at time Visa Became Available minus Length of Time Petition Pending (#7 minus #4):	19 years + 59 days
9. Rule- <input type="checkbox"/> Eligible if age listed on line 08 =	less than 21 years
- <input type="checkbox"/> Not Eligible if age listed on line 08 =	21 years or older

The applicant represented on this worksheet is over 21 and has had the Child Status Protection Act (CSPA) formula applied to see if a benefit could be derived. I have checked the appropriate box indicating the eligibility of the applicant for a benefit under CSPA.

Name: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature)

Name: \_\_\_\_\_ U.S. Embassy or Consulate General  
(Print Name)

LHO 17-Mar-2010 10:06:58 AM



# CLASS/SAO Name Check Results Report (SAO) Security Advisory Opinion

(b)(7)(c) (b)(7)(e)

- Release the primary applicant's information with proper VOI.
- Redact third party PII (b)(7)(C), add (k)(2) if a PA case.
- Redact, any CLASS and SAO results (b)(7)(E), add (k)(2) if a PA case.

CLASS/SAO Name Check Results Report  
 The namechecks were conducted under the auspices of the  
 United States Department of State  
 Refugee Processing Center in Arlington, Virginia

1 Identities Requiring Security Check

Primary Applicant Data									
Case No.	Seq.	Name	DoB	CoB	Nat	Gender	A Number	CLASS	SAO
	1	Onya Bike	01 Jan	SO	SO	M	A		
Travel FP Status	Travel FP Date	Initial IAC Status	Initial IAC Date	Final IAC Status	Final IAC Date				
	23		02		02				

CLASS Name Check Pass 1 of 1:

Submitted Information:

Name	DoB	CoB	Nat	Gender	Document Type	Document Number
Ross Tea						

Total Identity Items: 2

CLASS Name Check Results:

Security Process	Adjudication Results	Process Date	Expiry Date	Reference

SAO Name Check Pass 1 of 1:

Submitted Information:

Name	DoB	CoB	Nat	Gender	Document Type	Document Number
	01	SO	SO	M	Bio	
					Alias	

Total Identity Items: 2

SAO Name Check Results:

Adjudication Results	Process Date	Expiry Date	Reference



# Form III: Family Tree for Each Member of Case

Example I & II

(b)(6)

- Redact third party information (b)(6), under FOIA.
- Release third party information, under PA.

Note: There will often be an untranslated and translated version and this form may come from other countries.

Page: 02 of 02  
Date: \_\_\_\_\_  
RSC Case #: \_\_\_\_\_

**CWS/RSC Africa Form III: Family Tree for Each Member of Case**

Family Tree # 01 : PA's MO AS WITH      Family Tree # \_\_\_\_\_ AS

Sex: UNKNOWN      DOB      POB      LOC      L/S      #M      Sex: \_\_\_\_\_ DOB      POB      LOC      L/S      #M

FA: Terr \_\_\_\_\_      FA: Joe \_\_\_\_\_

MO: \_\_\_\_\_      MO: \_\_\_\_\_

Date / Place / Type of Marriage: \_\_\_\_\_      Date / Place / Type of Marriage: \_\_\_\_\_

Date / Place of Termination: \_\_\_\_\_      Date / Place of Termination: \_\_\_\_\_

PA states there is GEDI A

#	NAME	SEX	D/YOB	POB	LOC	L/S	#M
1		M		MOG, SO	MOG, SO	N/A	01 M
2		M					00 S
3		M					00 S
4							
5							
6							
7							
8							
9							
10							
11							

**RESETTLEMENT SUPPORT CENTER/Bangkok FORM III: Family Tree for Each Member of the Case**

The purpose of the Family Tree is to account for each person's immediate biological and legal ties and step relatives, defined as by parents, spouses, children and siblings, including deceased, adopted, and location unknown. The # M indicates the number of cases "transmitted", indicating legal, traditional, common-law, or other "marriage" which produces children. If exact dates are unknown, estimate ages and indicate approximate year. The first Family Tree is always the Principal Applicant (PA) as Child, followed by PA as Parent/Spouse, then PA's Spouse (AS) as FORM I if applicable) as a Child. Additional FORM III Family Trees must be completed for each step parent/bio relationship and listed accordingly.

RSC CASE # \_\_\_\_\_  
DATE # 09 DEC 2014  
PAGE # OF 02

**FAMILY TREE #1: PA AS A CHILD**      **FAMILY TREE #2: PA AS A PARENT/SPOUSE PARTNER**

Name      D/YOB      POB      LOC      #M      Name      D/YOB      POB      LOC      #M

PA's FA: \_\_\_\_\_      PA's MO: \_\_\_\_\_

Date / Place of Marriage: \_\_\_\_\_      Date / Place of Marriage: \_\_\_\_\_

Date / Place of Termination: \_\_\_\_\_      Date / Place of Termination: \_\_\_\_\_

Note: \_\_\_\_\_

Note: no children

Date / Initials of Caseworker: \_\_\_\_\_

Name	S	D/YOB	POB	LOC	#M	Name	S	D/YOB	POB	LOC	#M

No others dead, missing, location unknown      09 DEC 2014      Date/Caseworker's Initials \_\_\_\_\_

No others dead, missing, location unknown      09 DEC 2014      Date/Caseworker's Initials \_\_\_\_\_



# U.S. Refugee Admission Program (RSC) Resettlement Support Center

This Notice of confidentiality is given to the subject of record.

- Release with proper VOI.
- WIF (b)(6) if third party.

(b)(6)



U.S. Citizenship  
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Services

**RESETTLEMENT SUPPORT CENTER**  
**RSC AFRICA**

**RSC Africa | U.S. Refugee Admissions Program**

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**NOTICE ON CONFIDENTIALITY OF PERSONAL INFORMATION**  
**For Individuals Making**  
**Application for Refugee Admission to the United States**

The United States Refugee Admissions Program (USRAP) (including but not limited to staff of the Department of State and Resettlement Support Centers) and its partners (including but not limited to the International Organization for Migration and Reception and Placement Agencies) generally will not use or disclose information related to your refugee application without your written permission except as described in this notice or under applicable U.S. laws and regulations. The USRAP will use the personal information you give us to complete your application for resettlement in the United States.

- U.S. government officials from the Department of State, the Department of Homeland Security, and other U.S. government agencies will use this information to decide if you will be admitted to the United States as a refugee and, if admitted, to facilitate your resettlement.
- The USRAP will give information about you to the United Nations High Commissioner for Refugees and the International Organization for Migration to process your application and arrange your travel to the United States.
- The USRAP will give limited information about you and your family (such as ages, education, past employment and medical conditions that need care) to staff of the voluntary agency in the United States that sponsors you so they can prepare for your arrival.
- If specifically requested, the USRAP may also give information from your application to members of the U.S. Congress as needed for the formulation, amendment, administration, or enforcement of immigration, nationality, or other laws of the United States pursuant to Title 8 of the United States Code Section 1202(f).
- The information provided may, in certain circumstances, be released to other government agencies (federal, state, and/or local), when appropriate, such as for purposes of investigation or legal action on criminal and/or civil matters, or in connection with issues arising from the adjudication of benefits.
- Unless you have a credible and serious impairment such as age, illness or physical disability that prevents you from inquiring on your own behalf, we will not release information pertaining to your application or entry into the United States to friends or relatives or others on your behalf even though you may have provided a written authorization for this purpose. If you have questions about your application, you should contact the Resettlement Support Center handling your case. Your questions can be oral or in writing.

Neither this notice nor the terms of this policy create any legally enforceable rights.

Please sign below to indicate you have been made aware of this confidentiality policy. You should also keep a copy of this notice for future reference.

---

This policy has been explained to me in [ some Q. ]. I acknowledge my understanding and agreement by signing below:

Printed Name:

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

# Health Assessment Informed Consent Form (IOM) International Organization for Migration

- Release with proper VOI.
- WIF (b)(6) if third party.



IOM International Organization for Migration  
OIM Organisation Internationale pour les Migrations  
OIM Organización Internacional para las Migraciones

**UNITED STATES**

**HEALTH ASSESSMENT INFORMED CONSENT FORM**

*To be read and signed by all migrants/refugees undergoing health assessment by the International Organization for Migration for resettlement in the United States of America*

1. If you do not understand any of the following statements, please ask IOM staff for a complete explanation.
2. I understand that the medical examination is part of the resettlement process as required by the Immigration Authorities.
3. I understand that part of the medical examination includes a blood test for the HIV virus, this being the virus that causes AIDS.
4. I understand that the test for HIV reveals whether or not my blood contains antibodies to HIV, which means that my blood is infected with HIV.
5. I understand that if my test reveals that my blood is not infected with HIV and that I have practised risky behaviours in the past three to six months, the test may not be accurate and I may have contracted HIV.
6. I accept that this test will be carried out.
7. I accept that the results of my test will be communicated to the authorities and other agencies responsible for my resettlement.
8. I understand that if I test positive for HIV I may apply for a waiver of excludability that, if granted, will allow me to be resettled.
9. I understand that I have the right to refuse to have this test but accept that such a refusal will have a negative impact on my resettlement prospects.

I understand and accept the above conditions

Signed \_\_\_\_\_ Date \_\_\_\_\_  
Name \_\_\_\_\_ ID number \_\_\_\_\_  
HIV/AIDS Counsellor's Signature \_\_\_\_\_

55 IOM / USRP



U.S. Citizenship  
and Immigration  
Services



# Joint Voluntary Agency / JVA / VOLAG

- A VOLAG is an agency under contract with Department of State to document and process overseas refugees for admission to the United States.
- VOLAGs may also contract with the Office of Refugee Resettlement to provide job placement, English language training and other social services.
  - Catholic Charities Community Services (CCCS)
  - Catholic Community Services (CCS)
  - Church World Service (CWS)
  - Domestic & Foreign Missionary Society (DFMS)
  - Episcopal Migration Ministries (EMM)
  - Ethiopian Community Development Council (ECDC)
  - Hebrew Immigrant Aid Society (HIAS)
  - Integrated Refugee and Immigrant Services (IRIS)
  - International Catholic Migration Commission (ICMC)
  - International Organization For Migration (IOM)
  - International Rescue Committee (IRC)
  - Kurdish Human Rights Watch (KHRW)
  - Lutheran Immigration and Refugee Services (LIRS)
  - Resettlement Support Center (RSC)
  - U.S. Committee for Refugees and Immigrants (USCRI)
  - United States Conference of Catholic Bishops (USCCB)
  - World Relief Corporation (WR)



# Joint Voluntary Agency (JVA)

Review the Comments section.

- Redact deliberative notes (b)(5), add (d)(5) if a PA case.
- Redact references to fraud (b)(7)(C) and/or (b)(7)(E), add (k)(2) if a PA case.

<b>JVA/KENYA</b>	
CASE #	<u>COU</u> (Case size)
PA'S NAME	<u>J. J. J.</u>
PS DATE	<u>22 APR 20</u>
FF DATE	<u>25 APR 20</u>
CW DATE	<u>02 JUL 20</u>
INS DATE	
Comments:	



# Reception and Placement Program Assurance Form

World Relief Refugee Services welcomes refugees at the airport and helps them find housing, learn English, pursue employment, build friendships and create long-term support systems.

- Release the subject of record's information. (b)(6)
- Redact third party PII, (b)(6).
- WIF (b)(5) if not completed, add (d)(5) if a PA case.

**RECEPTION AND PLACEMENT PROGRAM ASSURANCE FORM**

WRRS Placement Code: 0/ 0  
 World Relief Refugee Services  
 7 East Baltimore Street  
 Baltimore, MD 21202  
 Phone:

Date: 15 APR File No.: Present Location: Kenya


The following persons have been accepted for resettlement under our auspices:

No	Name	Relat	A Number	DOB	MC	Sex	POB
1		PA	A			M	SO

Affiliate Local Co-Sponsor Relative (if applicable)  
 NCWRRS01  
 World Relief High Point  
 155 Northpoint Ave Suite 102  
 High Point NC 27262

Airport of Final Destination: GSO  
 Placement Location (City, State): HIGH POINT, NC  
 Special Instructions:

The Affiliate has an agreement with the national agency to provide or ensure the provision of reception and placement services to the above named refugee(s) in accordance with the U.S. Dept of State Cooperative Agreement.

Signature:  \_\_\_\_\_  
 (Authorized Agency Representative)



(b)(6) (b)(7)(e)

- WIF (b)(7)(E), add (k)(2) if a PA case.
- Redact Refugee Officer if overseas, (b)(6).



(b)(6) (b)(7)(e)

- WIF, Refugee officer notes (b)(7)(e), add (k)(2) if a PA case.
- If Refugee Officer is overseas, add (b)(6) if FOIA.



(b)(6) (b)(7)(e)

This is a Multi page worksheet.

- WIF (b)(7)(E), add (k)(2) if a PA case.
- If Refugee Officer is overseas, add (b)(6) if FOIA.

